Tools for Formal Epistemology: Doxastic Logic, Probability and Default Logic

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> > Lecture 1

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Doxastic Logic: Models

Model: $\langle W, R, V \rangle$

States/possible worlds: $W \neq \emptyset$

Quasi-partitions: $R \subseteq W \times W$ is serial, transitive and Euclidean

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- ▶ *transitive*: for all $w, v, u \in W$, if w R v and v R u, then w R u
- Euclidean: for all $w, v, u \in W$, if w R v and w R u, then v R u

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Valuation function: $V : At \rightarrow \wp(W)$, where At is a set of atomic propositions.

$$p \mid \varphi \land \varphi \mid \neg \varphi \mid B\varphi$$

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Boolean connectives:

▶
$$\mathcal{M}, w \models p \text{ iff } w \in V(p)$$

 $\blacktriangleright \ \mathcal{M}, w \models \neg \varphi \text{ iff it is not the case that } \mathcal{M}, w \models \varphi$

$$\blacktriangleright \ \mathcal{M}, w \models \varphi \land \psi \text{ iff } \mathcal{M}, w \models \varphi \text{ and } \mathcal{M}, w \models \psi$$

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Belief operators: $\mathcal{M}, w \models B\varphi$ iff for all v, if w R v, then $\mathcal{M}, v \models \varphi$.

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$$\mathcal{M}, w \models B\varphi \text{ iff } R(w) \subseteq \llbracket \varphi \rrbracket^{\mathcal{M}}$$

$$\{ v \mid w R v \} \qquad \{ v \mid \mathcal{M}, w \models \varphi \}$$

Doxastic Logic: KD45

$$K \qquad B(\varphi
ightarrow \psi)
ightarrow (B\varphi
ightarrow B\psi)$$

$$D \qquad B\varphi
ightarrow \neg B \neg \varphi$$

- $\begin{array}{ll} 4 & B\varphi \to BB\varphi \\ 5 & \neg B\varphi \to B\neg B\varphi \end{array}$

Doxastic Logic: KD45

- $K \qquad B(\varphi \rightarrow \psi) \rightarrow (B\varphi \rightarrow B\psi)$
- $D \qquad B\varphi
 ightarrow \neg B \neg \varphi$
- $4 \qquad B\varphi \to BB\varphi$
- 5 $\neg B\varphi \rightarrow B \neg B\varphi$

The logic **KD45** adds the above axiom schemes to an axiomatization of classical propositional logic with the rules Modus Ponens, Substitution of Equivalents, and Necessitation (from φ infer $B\varphi$).

KD45 is sound and strongly complete with respect to all quasi-partition frames.

Exercise: Show that the following axiom schemes and rules are valid on quasi-partition models and are theorems of **KD45**:

▶ agglomeration:
$$(B\varphi \land B\psi) \rightarrow B(\varphi \land \psi)$$

```
▶ consistency: \neg B \bot
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▶ monotonicity: From \varphi \rightarrow \psi infer B\varphi \rightarrow B\psi
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- monotonicity: From $\varphi \rightarrow \psi$ infer $B\varphi \rightarrow B\psi$
- secondary-reflexivity: for all w, v ∈ W, if w R v then v R v B(Bφ → φ)
- correctness of own beliefs:

 $B \neg B arphi
ightarrow \neg B arphi$

for all w, there is a v such that w R v and for all z if v R z then w R z

 $BB\varphi \to B\varphi$

density: for all w and v if w R v then there is a z such that w R z and z R v

- Defining beliefs from *evidence*.
- Defining beliefs from knowledge.
- Extending the basic logic of beliefs:
 - Conditional beliefs
 - Strong belief and robust belief
- Paradoxes of belief
 - The Buriden-Burge Paradox
 - Can an ideally rational agent be modest about her beliefs?
 - Prior's Theorem
 - Problems with Agglomeration: The Preface Paradox and The Lottery Paradox

Defining beliefs from evidence

J. van Benthem and EP. Dynamic logics of evidence-based beliefs. Studia Logica, 99(61), 2011.

J. van Benthem, D. Fernández-Duque and EP. *Evidence and plausibility in neighborhood structures*. Annals of Pure and Applied Logic, 165, pp. 106-133.

Let W be a set of possible worlds or states one of which represents the "actual" situation.

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- 2. The evidence gathered from different sources (or even the same source) may be jointly inconsistent. And so, the intersection of all the gathered evidence may be empty.
- 3. Despite the fact that sources may not be reliable or jointly inconsistent, they are all the agent has for forming beliefs.

Evidential States

An evidential state is a collection of subsets of W.

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Assumptions:

- ▶ No evidence set is empty (no contradictory evidence),
- ▶ The whole universe *W* is an evidence set (agents know their 'space').

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- ▶ The whole universe *W* is an evidence set (agents know their 'space').

In addition, much of the literature would suggest a 'monotonicity' assumption: If the agent has evidence X and $X \subseteq Y$ then the agent has evidence Y.

Example: $W = \{w, v\}$ where p is true at w

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There is no evidence for or against *p*.

There is evidence that supports p.



There is evidence that rejects p.



There is evidence that supports p and also evidence that rejects p.

Evidence Model

Evidence model: $\mathcal{M} = \langle W, E, V \rangle$

- ▶ *W* is a non-empty set of worlds,
- $V : At \rightarrow \wp(W)$ is a valuation function, and
- $E \subseteq W \times \wp(W)$ is an evidence relation

 $E(w) = \{X \mid w \in X\}$ and $X \in E(w)$: "the agent accepts X as evidence at state w".

Uniform evidence model (*E* is a constant function): $\langle W, \mathcal{E}, V \rangle$, *w* where \mathcal{E} is the fixed family of subsets of *W* related to each state by *E*.

Assumptions

(Cons) For each state w, $\emptyset \notin E(w)$.

(Triv) For each state w, $W \in E(w)$.

The Basic Language Ł of Evidence and Belief

$\boldsymbol{p} \mid \neg \varphi \mid \varphi \land \psi \mid \Box \varphi \mid \boldsymbol{B} \varphi \mid \boldsymbol{A} \varphi$

- □φ: "the agent has evidence that φ is true" (i.e., "the agent has evidence for φ")
- $B\varphi$ says that "the agents believes that φ is true" (based on her evidence)
- $A\varphi$: " φ is true in all states" (for technical convenience/knowledge)



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$$b, r \bullet b, \neg r$$

$$\neg b, r \bullet \bullet \neg b, \neg r$$

$$b, r \bullet \quad \bullet \ b, \neg r$$

$$\neg b, r \bullet \bullet \neg b, \neg r$$

Receive evidence that the animal is a bird



- Receive evidence that the animal is a bird
- Receive evidence that the animal is red

► $B(b \wedge r)$


- Receive evidence that the animal is a bird
- Receive evidence that the animal is red

► $B(b \wedge r)$

Receive evidence that the animal is not a bird



- Receive evidence that the animal is a bird
- Receive evidence that the animal is red

► $B(b \wedge r)$

- Receive evidence that the animal is not a bird
- ► Br

w-scenario: A maximal family of evidence sets $\mathcal{X} \subseteq E(w)$ that has the finite intersection property (f.i.p.: for each finite subfamily $\{X_1, \ldots, X_n\} \subseteq \mathcal{X}$, $\bigcap_{1 \leq i \leq n} X_i \neq \emptyset$).

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An agent believes φ at w if each w-scenario implies that φ is true (i.e., φ is true at each point in the intersection of each w-scenario).





Our definition of belief is very conservative, many other definitions are possible (there exists a w-scenario, "most" of the w-scenarios,...)

$$\blacktriangleright \ \mathcal{M}, w \models p \text{ iff } w \in V(p) \qquad (p \in At)$$

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$$\blacktriangleright \ \mathcal{M}, \textit{\textit{w}} \models \varphi \land \psi \text{ iff } \mathcal{M}, \textit{\textit{w}} \models \varphi \text{ and } \mathcal{M}, \textit{\textit{w}} \models \psi$$

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▶ $\mathcal{M}, w \models \Box \varphi$ iff there exists X such that *wEX* and for all $v \in X$, $\mathcal{M}, v \models \varphi$

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$$\blacktriangleright \ \mathcal{M}, w \models A\varphi \text{ iff for all } v \in W, \ \mathcal{M}, v \models \varphi$$

• $\mathcal{M}, w \models B\varphi$ for each maximal f.i.p. $\mathcal{X} \subseteq E(w)$ and for all $v \in \bigcap \mathcal{X}$, $\mathcal{M}, v \models \varphi$

Notation for the truth set: $\llbracket \varphi \rrbracket^{\mathcal{M}} = \{ w \mid \mathcal{M}, w \models \varphi \}$

An evidence model \mathcal{M} is **flat** if every scenario on \mathcal{M} has non-empty intersection.

Proposition. The formula $\Box \varphi \rightarrow \langle B \rangle \varphi$ is valid on the class of flat evidence models, but not on the class of all evidence models.

Exercises

- 1. Prove that $(\Box \varphi \land A \psi) \leftrightarrow \Box (\varphi \land A \psi)$ is valid on all evidence models.
- 2. Prove that $B\varphi \rightarrow AB\varphi$ is valid on all uniform evidence models.

Defining Beliefs from Knowledge

R. Stalnaker (2006). On logics of knowledge and belief. Philosophy Studies, 128, 169-199.

A. Baltag, N. Bezhanishvili, A. Özgün, and S. Smets (2019). *A Topological Approach to Full Belief.* Journal of Philosophical Logic, 48(2), pp. 205 - 244.

A. Bjorndahl and A. Özgün (2020). *Logic and Topology for Knowledge, Knowability, and Belief.* The Review of Symbolic Logic, 13(4), pp. 748-775.

Stalnaker bases his analysis on a conception of belief as 'subjective certainty': From the point of the agent in question, her belief is subjectively indistinguishable from her knowledge. Stalnaker bases his analysis on a conception of belief as 'subjective certainty': From the point of the agent in question, her belief is subjectively indistinguishable from her knowledge.

Bi-modal language of knowledge and belief: $p \mid \neg \varphi \mid \varphi \land \psi \mid K\varphi \mid B\psi$ Define $\langle K \rangle \varphi$ as $\neg K \neg \varphi$ and $\langle B \rangle \varphi$ as $\neg B \neg \varphi$

Stalnaker's Axioms

$$K \qquad K(\varphi \to \psi) \to (K\varphi \to K\psi)$$

$$T \qquad K\varphi \to \varphi$$

$$4 \qquad K\varphi \to KK\varphi$$

$$CB \qquad B\varphi \to \neg B\neg \varphi$$

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$$CB \qquad B\varphi \to \neg B \neg \varphi$$

$$PI \qquad B\varphi
ightarrow KB\varphi$$

$$NI \qquad \neg B \varphi \rightarrow K \neg B \varphi$$

Stalnaker's Axioms

$$\begin{array}{lll} \mathcal{K} & \mathcal{K}(\varphi \rightarrow \psi) \rightarrow (\mathcal{K}\varphi \rightarrow \mathcal{K}\psi) \\ \mathcal{T} & \mathcal{K}\varphi \rightarrow \varphi \\ \mathcal{4} & \mathcal{K}\varphi \rightarrow \mathcal{K}\mathcal{K}\varphi \\ \mathcal{CB} & \mathcal{B}\varphi \rightarrow \mathcal{T}\mathcal{B}\neg\varphi \\ \mathcal{PI} & \mathcal{B}\varphi \rightarrow \mathcal{K}\mathcal{B}\varphi \\ \mathcal{NI} & \neg \mathcal{B}\varphi \rightarrow \mathcal{K}\neg \mathcal{B}\varphi \\ \mathcal{KB} & \mathcal{K}\varphi \rightarrow \mathcal{B}\varphi \\ \mathcal{FB} & \mathcal{B}\varphi \rightarrow \mathcal{B}\mathcal{K}\varphi \end{array}$$

Proposition (Stalnaker). The following equivalence is a theorem of the propositional modal logic that contains the previous axiom schemas (with Modus Ponens and Necessitation for both K and B):

 $B\varphi \leftrightarrow \langle {\it K} \rangle {\it K} \varphi$

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Moreover, all of the axioms of **KD45** and the (.2)-axiom $\langle K \rangle K \varphi \rightarrow K \langle K \rangle \varphi$ are provable.

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Moreover, all of the axioms of **KD45** and the (.2)-axiom $\langle K \rangle K \varphi \rightarrow K \langle K \rangle \varphi$ are provable.

This means that we can take the logic of knowledge to be **S4.2** (the axioms K, T, 4 and .2) and *define* full belief as above (i.e., as the 'epistemic possibility of knowledge').

- ✓ Defining beliefs from *evidence*.
- ✓ Defining beliefs from *knowledge*. ✓
 - Conditional beliefs
 - Strong belief and robust belief
- Paradoxes of belief
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The agent's beliefs (soft information—-the states consistent with what the agent believes)



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- ► The agent's "contingency plan"



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Sphere Models

Let W be a set of states, A set $\mathcal{F} \subseteq \wp(W)$ is called a system of spheres provided:

- ▶ For each $S, S' \in \mathcal{F}$, either $S \subseteq S'$ or $S' \subseteq S$
- For any P ⊆ W there is a smallest S ∈ F (according to the subset relation) such that P ∩ S ≠ Ø
- ▶ The spheres are non-empty $\bigcap \mathcal{F} \neq \emptyset$ and cover the entire information cell $\bigcup \mathcal{F} = W$

Let \mathcal{F} be a system of spheres on W: for $w, v \in W$, let

 $w \preceq_{\mathcal{F}} v$ iff for all $S \in \mathcal{F}$, if $v \in S$ then $w \in S$

Then, $\leq_{\mathcal{F}}$ is reflexive, transitive, and well-founded.

 $w \leq_{\mathcal{F}} v$ means that no matter what the agent learns in the future, as long as world v is still consistent with her beliefs and w is still epistemically possible, then w is also consistent with her beliefs.

$$\blacktriangleright W = \{w_1, w_2, w_3\}$$
$$\bullet w_3$$
$$\bullet w_1 \quad \bullet w_2$$



• <i>W</i> 3	
• W1	•W ₂





 $\blacktriangleright \{w_1, w_2\} \subseteq Min_{\preceq}([w_i])$



Belief: $B\varphi$

$$Min_{\preceq}(W) \subseteq \llbracket \varphi \rrbracket^{\mathcal{M}}$$



Conditional Belief: $B^{\varphi}\psi$



Conditional Belief: $B^{\varphi}\psi$

 $\mathit{Min}_{\preceq}(\llbracket\!\!\![\varphi]\!\!]^{\mathcal{M}}) \subseteq \llbracket\!\!\![\psi]\!\!]^{\mathcal{M}}$





 $\blacktriangleright w_1 \models B_a(H_1 \land H_2) \land B_b(H_1 \land H_2)$



$$w_1 \models B_a(H_1 \land H_2) \land B_b(H_1 \land H_2)$$
$$w_1 \models B_a^{T_1} H_2$$
Example



$$w_1 \models B_a(H_1 \land H_2) \land B_b(H_1 \land H_2)$$

$$w_1 \models B_a^{T_1} H_2$$

$$w_1 \models B_b^{T_1} T_2$$







Suppose that *w* is the current state.

► Belief (*BP*)



- ► Belief (*BP*)
- **Robust Belief** $([\leq]P)$



- ► Belief (*BP*)
- **Robust Belief** $([\preceq]P)$
- ► Strong Belief (B^sP)



- ► Belief (*BP*)
- **Robust Belief** $([\preceq]P)$
- ► Strong Belief (B^sP)
- ► Knowledge (KP)

Is
$$B^{\alpha}\varphi \to B^{\alpha \wedge \beta}\varphi$$
 valid?

Is
$$B^{\alpha}\varphi \to B^{\alpha \wedge \beta}\varphi$$
 valid?

Is $B\varphi \to B^{\psi}\varphi \vee B^{\neg\psi}\varphi$ valid?

Is
$$B^{\alpha}\varphi \to B^{\alpha \wedge \beta}\varphi$$
 valid?

Is
$$B\varphi \to B^{\psi}\varphi \vee B^{\neg\psi}\varphi$$
 valid?

Exercise: Prove that B, B^{φ} and B^{s} are definable in the language with K (a universal modality) and $[\preceq]$ (a modality for the plausibility ordering).

 $\mathcal{M}, w \models B^{\varphi} \psi \text{ if for each } v \in \mathit{Min}_{\preceq}(\llbracket \varphi \rrbracket), \ \mathcal{M}, v \models \varphi$ where $\llbracket \varphi \rrbracket = \{ w \mid \mathcal{M}, w \models \varphi \}.$

$$\mathcal{M}, w \models B^{\varphi}\psi$$
 if for each $v \in Min_{\preceq}(\llbracket \varphi \rrbracket), \mathcal{M}, v \models \varphi$
where $\llbracket \varphi \rrbracket = \{w \mid \mathcal{M}, w \models \varphi\}.$

Core Logical Principles:

1. $B^{\varphi}\varphi$ 2. $B^{\varphi}\psi \to B^{\varphi}(\psi \lor \chi)$ 3. $(B^{\varphi}\psi_1 \land B^{\varphi}\psi_2) \to B^{\varphi}(\psi_1 \land \psi_2)$ 4. $(B^{\varphi_1}\psi \land B^{\varphi_2}\psi) \to B^{\varphi_1\lor\varphi_2}\psi$ 5. $(B^{\varphi}\psi \land B^{\psi}\varphi) \to (B^{\varphi}\chi \leftrightarrow B^{\psi}\chi)$

J. Burgess. *Quick completeness proofs for some logics of conditionals*. Notre Dame Journal of Formal Logic 22, 76 – 84, 1981.

Types of Beliefs: Logical Characterizations

•
$$\mathcal{M}, w \models K_i \varphi$$
 iff $\mathcal{M}, w \models B_i^{\psi} \varphi$ for all ψ

i knows φ iff i continues to believe φ given any new information

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i knows φ iff *i* continues to believe φ given any new information

•
$$\mathcal{M}, w \models [\preceq_i] \varphi$$
 iff $\mathcal{M}, w \models B_i^{\psi} \varphi$ for all ψ with $\mathcal{M}, w \models \psi$.
i robustly believes φ iff *i* continues to believe φ given any true formula.

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i robustly believes φ iff *i* continues to believe φ given any true formula.

•
$$\mathcal{M}, w \models B_i^s \varphi$$
 iff $\mathcal{M}, w \models B_i \varphi$ and $\mathcal{M}, w \models B_i^{\psi} \varphi$ for all ψ with $\mathcal{M}, w \models \neg K_i(\psi \rightarrow \neg \varphi)$.
i strongly believes φ iff *i* believes φ and continues to believe φ given any evidence (truthful or not) that is not known to contradict φ .

- ✓ Defining beliefs from *evidence*.
- ✓ Defining beliefs from *knowledge*. √
 - ✓ Conditional beliefs
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 - Problems with Agglomeration: The Preface Paradox and The Lottery Paradox

Suppose that q is the statement that $\neg B_a q$.

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1. Suppose $\neg B_a q$. Then by the 5 axiom $(\neg B_a \varphi \rightarrow B_a \neg B_a \varphi)$, we have that $B_a \neg B_a q$. But since q is $\neg B_a q$, we have $B_a q$. Contradiction.

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- 2. Suppose B_aq . By by the 4 axiom $(B_a\varphi \to B_aB_a\varphi)$, we have that B_aB_aq . By the D axioms $(B_a\varphi \to \neg B_a\neg\varphi)$, we have that $\neg B_a\neg B_aq$. But since $\neg B_aq$ is q, we have $\neg B_aq$. Contradiction.

Tyler Burge (1984). Epistemic paradox. Journal of Philosophy, 81(1), pp. 5 - 29.

Of course, "q is the statement that $\neg B_a q$ " is not a sentence of the modal logic of beliefs.

What we have shown is that $\neg B_a(q \leftrightarrow \neg B_a q)$ is a theorem of **KD45**.

This is a paradox only if it should be possible for an ideally rational agent to believe that $q \leftrightarrow \neg B_a q$.

Wolfgang Lenzen (1981). *Doxastic Logic and the Burge-Buridan-Paradox*. Philosophical Studies, 39(1), pp. 43 - 49.

Michael Caie (2012). Belief and indeterminacy. The Philosophical Review, 121(1), pp. 1 - 54.

Propositional Quantifiers

While we naturally quantify over propositions in both ordinary and philosophical discussion of beliefs, the addition of propositional quantifiers is not given much attention in the literature.

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While we naturally quantify over propositions in both ordinary and philosophical discussion of beliefs, the addition of propositional quantifiers is not given much attention in the literature. Consider the following examples:

- "One believes that everything one believes is true": $B \forall p(Bp \rightarrow p)$
- "If no matter what p stands for, one believes that φ , then one believes that no matter what p stands for, φ ": $\forall pB\varphi \rightarrow B \forall p\varphi$
- "There is a proposition that the agent takes to be consistent and to settle everything": ∃q(Bq ∧ ∀p(B(q → p) ∨ B(q → ¬p))

See the course by Peter Fritz.

Immodest Beliefs

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Consider an agent who has credences about a real number x randomly generated from the interval [0,1]. For all measurable $X \subseteq [0,1]$, then the agent's credence that $x \in X$ is just the measure of X. Suppose that the agent outright believes precisely those propositions with credence 1. Then, for all $a \in [0,1]$, the agent believes that $x \in [0,1] \setminus \{a\}$ since $[0,1] \setminus \{a\}$ is measure 1. However, the agent does not believe that for all $a \in [0,1], x \in [0,1] \setminus \{a\}$ since $\bigcap_{a \in [0,1]} ([0,1] \setminus \{a\}) = \emptyset$, which is not measure 1. Hence the agent in this situation does not believe that all her beliefs are true.

Yifeng Ding (2021). On the Logic of Belief and Propositional Quantification. Journal of Philosophical Logic, 50, pp. 1143 - 1198.

In any possible world semantics for **KD45**, $B \forall p(Bp \rightarrow p)$ is valid on any frame. So, any logic validating **KD45** must validate Immod. Algebraic semantics is needed for logics with do not validate Immod.

Yifeng Ding (2021). On the Logic of Belief and Propositional Quantification. Journal of Philosophical Logic, 50, pp. 1143 - 1198.

Also, see: Jeremy Goodman (2020). *I'm mistaken*. manuscript.