

# Neighborhood Semantics for Modal Logic

## Lecture 2

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August 6, 2024

# Plan for Today (and tomorrow and Thursday)

- ▶ Interpretation of Neighborhood Models: Evidence Models
- ▶ Neighborhood Frames/Models
- ▶ Non-Normal Modal Logics
- ▶ Completeness
- ▶ Incompleteness
- ▶ Decidability and Complexity
- ▶ Bisimulation and Expressivity

# Interpretation of Neighborhood Models: Evidence Models

# Defining beliefs from evidence

J. van Benthem and EP. *Dynamic logics of evidence-based beliefs*. *Studia Logica*, 99(61), 2011.

J. van Benthem, D. Fernández-Duque and EP. *Evidence and plausibility in neighborhood structures*. *Annals of Pure and Applied Logic*, 165, pp. 106-133.

# Evidence Models: Basic Assumptions

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2. The evidence gathered from different sources (or even the same source) may be jointly inconsistent. And so, the intersection of all the gathered evidence may be empty.
3. Despite the fact that sources may not be reliable or jointly inconsistent, they are all the agent has for forming beliefs.



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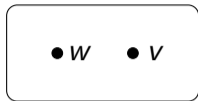
- ▶ No evidence set is empty (no contradictory evidence),
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In addition, much of the literature would suggest a 'monotonicity' assumption:

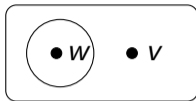
*If the agent has evidence  $X$  and  $X \subseteq Y$  then the agent has evidence  $Y$ .*

Example:  $W = \{w, v\}$  where  $p$  is true at  $w$

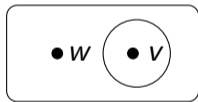
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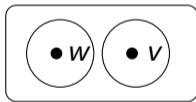
There is no evidence for or against  $p$ .



There is evidence that supports  $p$ .



There is evidence that rejects  $p$ .



There is evidence that supports  $p$  and also evidence that rejects  $p$ .

# Evidence Model

**Evidence model:**  $\mathcal{M} = \langle W, E, V \rangle$

- ▶  $W$  is a non-empty set of worlds,
- ▶  $V : \text{At} \rightarrow \wp(W)$  is a valuation function, and
- ▶  $E \subseteq W \times \wp(W)$  is an evidence relation

$E(w) = \{X \mid w E X\}$  and  $X \in E(w)$ : “the agent accepts  $X$  as evidence at state  $w$ ”.

**Uniform evidence model** ( $E$  is a constant function):  $\langle W, \mathcal{E}, V \rangle, w$  where  $\mathcal{E}$  is the fixed family of subsets of  $W$  related to each state by  $E$ .

# Assumptions

(Cons) For each state  $w$ ,  $\emptyset \notin E(w)$ .

(Triv) For each state  $w$ ,  $W \in E(w)$ .

# The Basic Language $\mathcal{L}$ of Evidence and Belief

$$p \mid \neg\varphi \mid \varphi \wedge \psi \mid \Box\varphi \mid B\varphi \mid A\varphi$$

- ▶  $\Box\varphi$ : “the agent has evidence that  $\varphi$  is true” (i.e., “the agent has evidence for  $\varphi$ ”)
- ▶  $B\varphi$  says that “the agents believes that  $\varphi$  is true” (based on her evidence)
- ▶  $A\varphi$ : “ $\varphi$  is true in all states” (for technical convenience/knowledge)



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# Example

$b, r \bullet$

$\bullet b, \neg r$

$\neg b, r \bullet$

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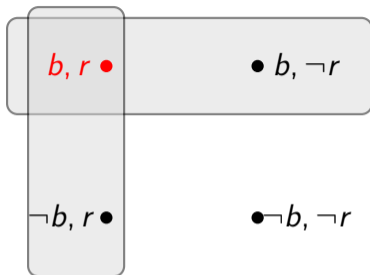
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- ▶ Receive evidence that the animal is a bird

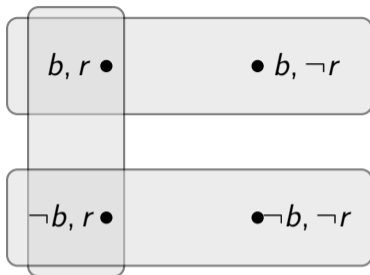
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- ▶ Receive evidence that the animal is red
- ▶  $B(b \wedge r)$

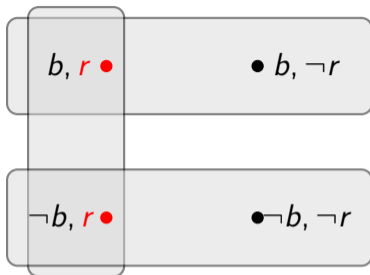


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- ▶  $Br$

## Defining Beliefs

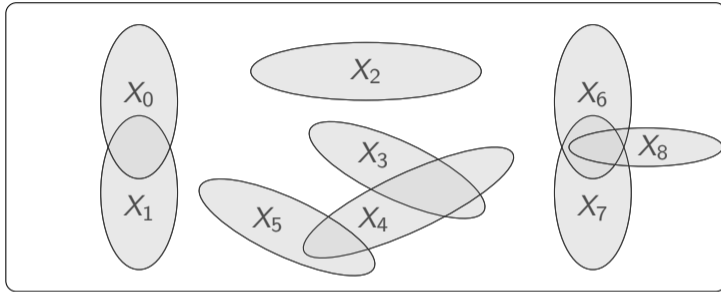
**w-scenario:** A maximal family of evidence sets  $\mathcal{X} \subseteq E(w)$  that has the **finite intersection property** (f.i.p.: for each finite subfamily  $\{X_1, \dots, X_n\} \subseteq \mathcal{X}$ ,  $\bigcap_{1 \leq i \leq n} X_i \neq \emptyset$ ).

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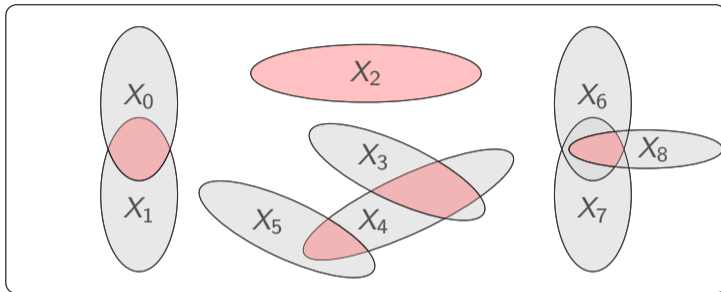
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An agent believes  $\varphi$  at  $w$  if each  $w$ -scenario implies that  $\varphi$  is true (i.e.,  $\varphi$  is true at each point in the intersection of each  $w$ -scenario).

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*Our definition of belief is very conservative, many other definitions are possible (there exists a  $w$ -scenario, “most” of the  $w$ -scenarios,...)*

# Truth

- ▶  $\mathcal{M}, w \models p$  iff  $w \in V(p)$       ( $p \in \text{At}$ )
- ▶  $\mathcal{M}, w \models \neg\varphi$  iff  $\mathcal{M}, w \not\models \varphi$
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- ▶  $\mathcal{M}, w \models A\varphi$  iff for all  $v \in W$ ,  $\mathcal{M}, v \models \varphi$
- ▶  $\mathcal{M}, w \models B\varphi$  for each maximal f.i.p.  $\mathcal{X} \subseteq E(w)$  and for all  $v \in \bigcap \mathcal{X}$ ,  $\mathcal{M}, v \models \varphi$

**Notation for the truth set:**  $\llbracket \varphi \rrbracket_{\mathcal{M}} = \{w \mid \mathcal{M}, w \models \varphi\}$

# Flat Evidence Models

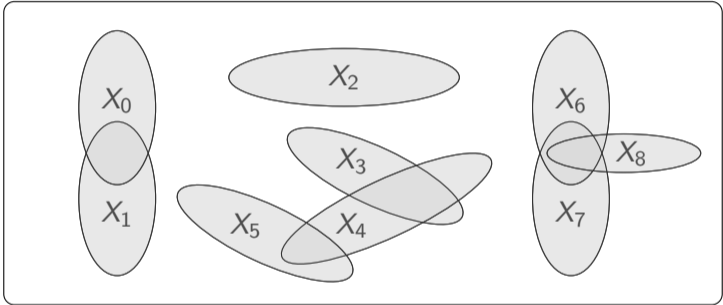
An evidence model  $\mathcal{M}$  is **flat** if every scenario on  $\mathcal{M}$  has non-empty intersection.

**Proposition.** The formula  $\Box\varphi \rightarrow \langle B \rangle\varphi$  is valid on the class of flat evidence models, but not on the class of all evidence models.

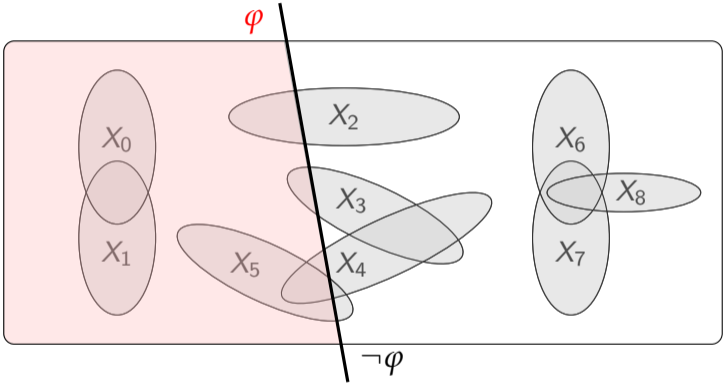
# Exercises

1. Prove that  $(\Box\varphi \wedge A\psi) \leftrightarrow \Box(\varphi \wedge A\psi)$  is valid on all evidence models.
2. Prove that  $B\varphi \rightarrow AB\varphi$  is valid on all uniform evidence models.

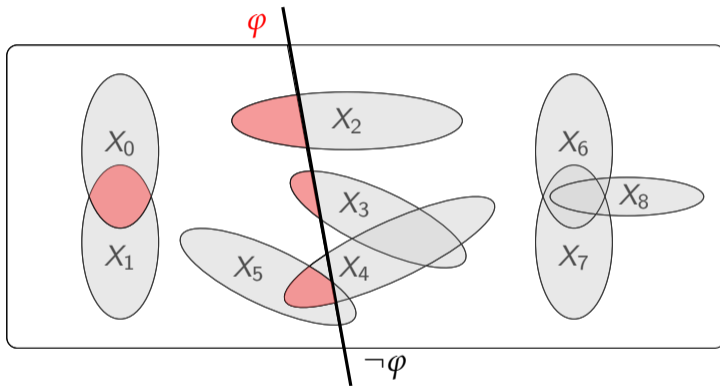
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$B^\varphi\psi$ : “the agent believes  $\psi$  conditional on  $\varphi$ .”

Main idea: Ignore the evidence that is inconsistent with  $\varphi$ .

**Relativized  $w$ -scenario:** Suppose that  $X \subseteq W$ . Given a collection  $\mathcal{X} \subseteq \wp(W)$ , let  $\mathcal{X}^X = \{Y \cap X \mid Y \in \mathcal{X}\}$ . We say that a collection  $\mathcal{X}$  of subsets of  $W$  has the **finite intersection property relative to  $X$  ( $X$ -f.i.p.)** if,  $\mathcal{X}^X$  has the f.i.p. and is maximal if  $\mathcal{X}^X$  is.

- ▶  $\mathcal{M}, w \models B^\varphi\psi$  iff for each maximal  $\varphi$ -f.i.p.  $\mathcal{X} \subseteq E(w)$ , for each  $v \in \bigcap \mathcal{X}^\varphi$ ,  $\mathcal{M}, v \models \psi$



## Conditional Beliefs: Example

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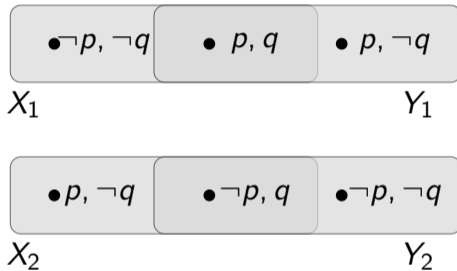
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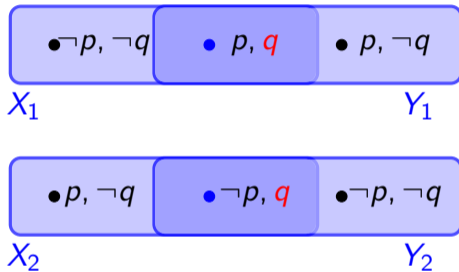
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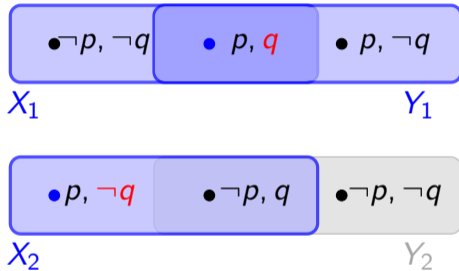


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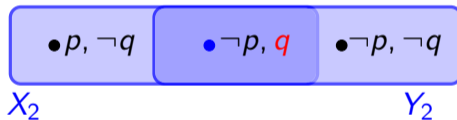
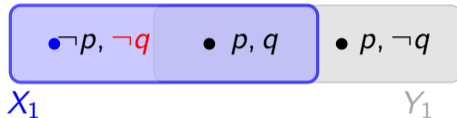
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- ✓  $\mathcal{M}, w \models Bq$
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- ▶  $\mathcal{M}, w \not\models B^{\neg p} q$

# Course Plan

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**(Monday, Tuesday)**
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# Neighborhood Frames

Let  $W$  be a non-empty set of states.

Any function  $N : W \rightarrow \wp(\wp(W))$  is called a **neighborhood function**

A pair  $\langle W, N \rangle$  is called a **neighborhood frame** if  $W$  a non-empty set and  $N$  is a neighborhood function.

A **neighborhood model** based on  $\mathcal{F} = \langle W, N \rangle$  is a tuple  $\langle W, N, V \rangle$  where  $V : \text{At} \rightarrow \wp(W)$  is a valuation function.

## Truth in a Model

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- ▶  $\mathcal{M}, w \models \Box\varphi$  iff  $[[\varphi]]_{\mathcal{M}} \in N(w)$
- ▶  $\mathcal{M}, w \models \Diamond\varphi$  iff  $W - [[\varphi]]_{\mathcal{M}} \notin N(w)$

where  $[[\varphi]]_{\mathcal{M}} = \{w \mid \mathcal{M}, w \models \varphi\}$ .

Let  $N : W \rightarrow \wp\wp W$  be a neighborhood function and define  $m_N : \wp W \rightarrow \wp W$ :

$$\text{for } X \subseteq W, m_N(X) = \{w \mid X \in N(w)\}$$

1.  $\llbracket p \rrbracket_{\mathcal{M}} = V(p)$  for  $p \in \text{At}$
2.  $\llbracket \neg\varphi \rrbracket_{\mathcal{M}} = W - \llbracket \varphi \rrbracket_{\mathcal{M}}$
3.  $\llbracket \varphi \wedge \psi \rrbracket_{\mathcal{M}} = \llbracket \varphi \rrbracket_{\mathcal{M}} \cap \llbracket \psi \rrbracket_{\mathcal{M}}$
4.  $\llbracket \Box\varphi \rrbracket_{\mathcal{M}} = m_N(\llbracket \varphi \rrbracket_{\mathcal{M}})$
5.  $\llbracket \Diamond\varphi \rrbracket_{\mathcal{M}} = W - m_N(W - \llbracket \varphi \rrbracket_{\mathcal{M}})$

## Detailed Example

Suppose  $W = \{w, s, v\}$  is the set of states and define a neighborhood model  $\mathcal{M} = \langle W, N, V \rangle$  as follows:

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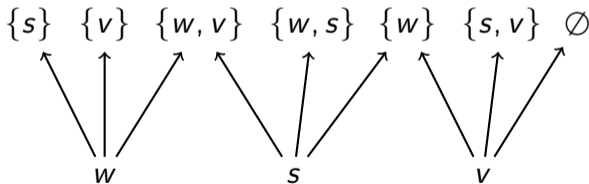
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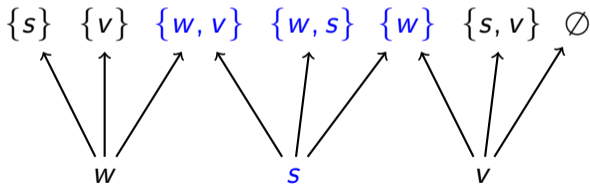


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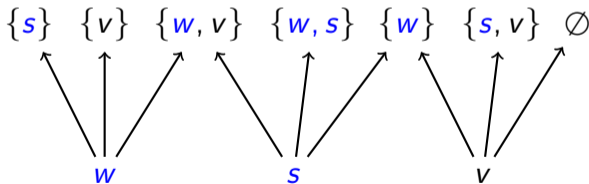


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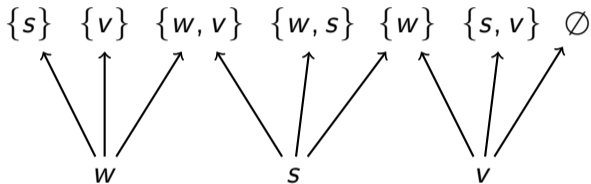
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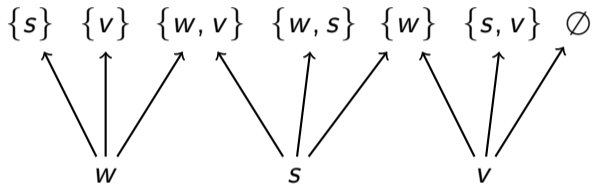
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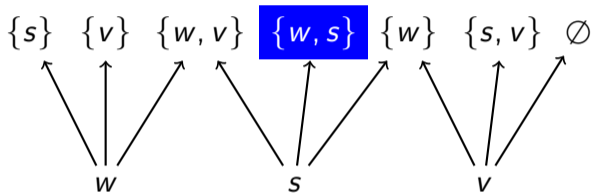
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$$\mathcal{M}, s \models \Box p$$

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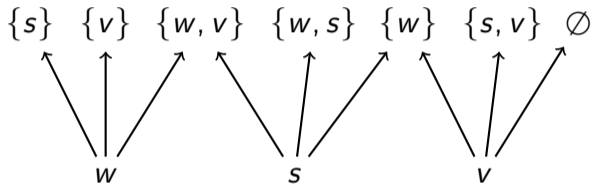
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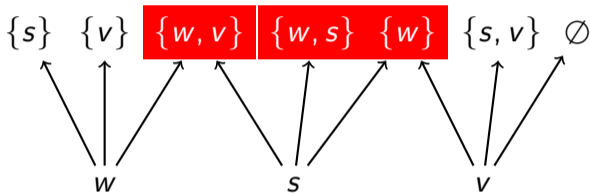
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$$\mathcal{M}, s \models \diamond p$$

## Detailed Example

$$V(p) = \{w, s\} \text{ and } V(q) = \{s, v\}$$

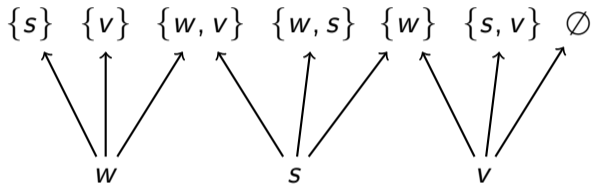


$$\mathcal{M}, s \models \diamond p$$

$$\llbracket \neg p \rrbracket_{\mathcal{M}} = \{v\}$$

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$$V(p) = \{w, s\} \text{ and } V(q) = \{s, v\}$$



$$\mathcal{M}, w \models \diamond \Box p?$$

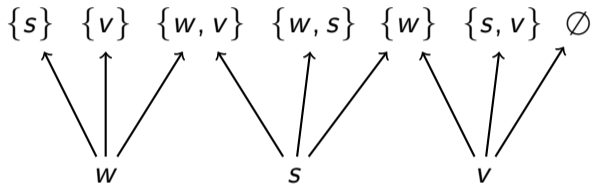
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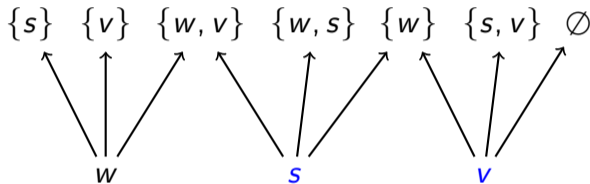
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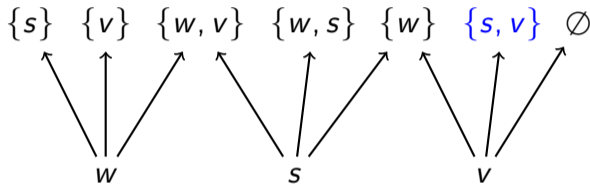
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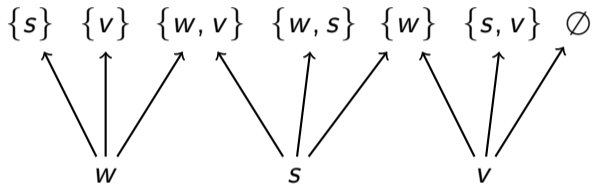
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$$V(p) = \{w, s\} \text{ and } V(q) = \{s, v\}$$



$$\mathcal{M}, w \not\models \diamond \square p$$

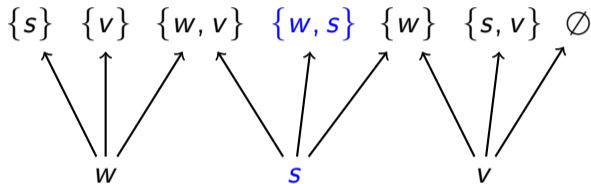
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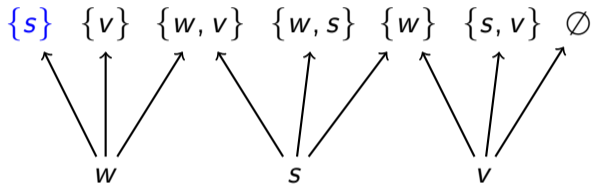
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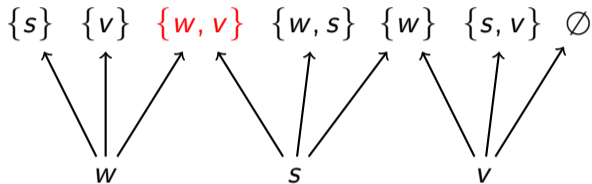
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# Neighborhood Modalities

- ▶  $\mathcal{M}, w \models \Box\varphi$  iff  $[[\varphi]]_{\mathcal{M}} \in N(w)$
- ▶  $\mathcal{M}, w \models \Diamond\varphi$  iff  $W - [[\varphi]]_{\mathcal{M}} \notin N(w)$

## Other modal operators

- ▶  $\mathcal{M}, w \models \langle \rangle \varphi$  iff  $\exists X \in N(w)$  such that  $\exists v \in X, \mathcal{M}, v \models \varphi$
- ▶  $\mathcal{M}, w \models [ ] \varphi$  iff  $\forall X \in N(w)$  such that  $\forall v \in X, \mathcal{M}, v \models \varphi$
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### Lemma

Let  $\mathcal{M} = \langle W, N, V \rangle$  be a neighborhood model. Then for each  $w \in W$ ,

1. if  $\mathcal{M}, w \models \Box \varphi$  then  $\mathcal{M}, w \models \langle \rangle \varphi$
2. if  $\mathcal{M}, w \models [ \rangle \varphi$  then  $\mathcal{M}, w \models \Diamond \varphi$

However, the converses of the above statements are false.

## Other modal operators

- ▶  $\mathcal{M}, w \models \langle \rangle \varphi$  iff  $\exists X \in N(w)$  such that  $\forall v \in X, \mathcal{M}, v \models \varphi$
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### Lemma

1. If  $\varphi \rightarrow \psi$  is valid, then so is  $\langle \rangle \varphi \rightarrow \langle \rangle \psi$ .
2.  $\langle \rangle (\varphi \wedge \psi) \rightarrow (\langle \rangle \varphi \wedge \langle \rangle \psi)$  is valid in  $\mathcal{M}$

*Investigate analogous results for the other modal operators defined above.*

## Instantial Neighborhood Logic

$\mathcal{M}, w \models \Box(\psi_1, \dots, \psi_k; \varphi)$  iff there is an  $X \in N(w)$  such that

- ▶ for all  $x \in X$ ,  $\mathcal{M}, x \models \varphi$  and
- ▶ for all  $i \in \{1, \dots, k\}$  there is a  $x_i \in X$  such that  $\mathcal{M}, x_i \models \psi_i$

Johan van Benthem, Nick Bezhanishvili, Sebastian Enqvist, and Junhua Yu (2017). *Instantial Neighbourhood Logic*. *The Review of Symbolic Logic* 10(1), pp. 116 - 144.

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$\mathcal{M}, w \models \langle \rangle(\psi_1, \dots, \psi_k; \varphi)$  iff there is an  $X \in N(w)$  such that

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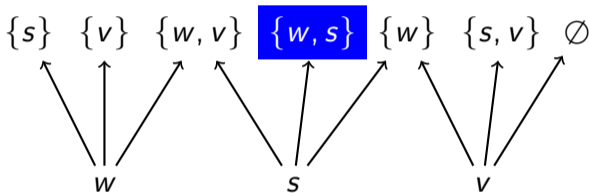
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$$V(p) = \{w, s\}$$

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$$\mathcal{M}, s \models \Box(q, \neg q, p)$$

Johan van Benthem, Nick Bezhanishvili, Sebastian Enqvist, and Junhua Yu (2017). *Instantial Neighbourhood Logic*. The Review of Symbolic Logic 10(1), pp. 116 - 144.

# Validity

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(Similar definitions for relational models/frames)

# Examples

- ▶ From  $\varphi \leftrightarrow \psi$  infer  $\Box\varphi \leftrightarrow \Box\psi$  is a *valid rule of inference*
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- ▶  $\Box\top$  is not valid on neighborhood frames

A **logic** is a set of formulas  $\mathbf{L}$  satisfying certain closure conditions. We write  $\vdash_{\mathbf{L}} \varphi$  iff  $\varphi \in \mathbf{L}$ .

**Rule of inference:** “From  $\varphi_1, \dots, \varphi_n$  infer  $\varphi$ ”, denoted  $\frac{\varphi_1 \varphi_2 \cdots \varphi_n}{\varphi}$ ,  
where  $n \geq 0$ . A logic is closed under a rule of inference means that if  $\{\varphi_1, \varphi_2, \dots, \varphi_n\} \subseteq \mathbf{L}$ , then  $\varphi \in \mathbf{L}$



# Uniform Substitution (US)

$$\frac{\varphi}{\psi}$$

where  $\psi$  is obtained from  $\varphi$  by uniformly replacing propositional atoms in  $\varphi$  by arbitrary formulas (i.e.,  $\psi = \varphi^\sigma$ , where  $\sigma$  is a substitution).

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## **Axiom Schemes vs. Axioms:**

- ▶ The logic contains all instances of  $\alpha \rightarrow (\beta \rightarrow \alpha)$
- ▶ The logic contains the axiom  $p \rightarrow (q \rightarrow p)$  and is closed under uniform substitution

# Normal Modal Logic

A **normal modal logic** is a logic that:

▶ contains all instances of propositional tautologies

▶ is closed under modus ponens:  $\frac{\varphi \quad \varphi \rightarrow \psi}{\psi}$

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4. Let  $\mathbf{K}$  be the smallest normal modal logic: The smallest set of formulas containing all propositional tautologies, all instances of  $K$ , all instances of  $Dual$ , closed under Modus Ponens, and closed under Necessitation.

# Normal Modal Logics

PC: All propositional tautologies

N: The rule of necessitation:  $\frac{\varphi}{\Box\varphi}$

## Some Axioms

$$K \quad \Box(\varphi \rightarrow \psi) \rightarrow (\Box\varphi \rightarrow \Box\psi)$$

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$$T \quad \Box\varphi \rightarrow \varphi$$

$$4 \quad \Box\varphi \rightarrow \Box\Box\varphi$$

$$5 \quad \neg\Box\varphi \rightarrow \Box\neg\Box\varphi$$

$$L \quad \Box(\Box\varphi \rightarrow \varphi) \rightarrow \Box\varphi$$

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## Some Normal Modal Logics

**K**  $PC + N + K$

**T**  $PC + N + K + T$

**K4**  $PC + N + K + 4$

**S4**  $PC + N + K + T + 4$

**S5**  $PC + N + K + T + 4 + 5$

**KD45**  $PC + N + K + D + 4 + 5$

**GL**  $PC + N + K + L$

# Non-Normal Modal Logics

*PC* Propositional Calculus + MP

$$E \quad \Box\varphi \leftrightarrow \neg\Diamond\neg\varphi$$

$$M \quad \Box(\varphi \wedge \psi) \rightarrow (\Box\varphi \wedge \Box\psi)$$

$$C \quad (\Box\varphi \wedge \Box\psi) \rightarrow \Box(\varphi \wedge \psi)$$

$$N \quad \Box\top$$

$$K \quad \Box(\varphi \rightarrow \psi) \rightarrow (\Box\varphi \rightarrow \Box\psi)$$

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A modal logic **L** is **classical** if it contains all instances of *E* and is closed under *RE*.

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**E** is the smallest **classical** modal logic.

In **E**, *M* is equivalent to

$$(RM) \quad \frac{\varphi \rightarrow \psi}{\Box\varphi \rightarrow \Box\psi}$$

# Non-Normal Modal Logics

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**EM** is the logic **E** + *RM*



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**EC** is the logic **E** + *C*

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**EMC** is the smallest **regular** modal logic

# Non-Normal Modal Logics

*PC* Propositional Calculus + MP

$$E \quad \Box\varphi \leftrightarrow \neg\Diamond\neg\varphi$$

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$$C \quad (\Box\varphi \wedge \Box\psi) \rightarrow \Box(\varphi \wedge \psi)$$

$$N \quad \Box\top$$

$$K \quad \Box(\varphi \rightarrow \psi) \rightarrow (\Box\varphi \rightarrow \Box\psi)$$

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A logic is **normal** if it contains all instances of *E*, *C* and is closed under *RM* and *Nec*

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$$\mathbf{K} = PC(+E) + K + Nec + MP$$

An equivalent definition of a normal modal logic: A **normal modal logic** is a logic that

▶ contains all instances of propositional tautologies

▶ is closed under modus ponens:  $\frac{\varphi \quad \varphi \rightarrow \psi}{\psi}$

▶ contains all instances of

▶ *Dual*:  $\Box\varphi \leftrightarrow \neg\Diamond\neg\varphi$ ,

▶ *M*:  $\Box(\varphi \wedge \psi) \rightarrow (\Box\varphi \wedge \Box\psi)$

▶ *C*:  $(\Box\varphi \wedge \Box\psi) \rightarrow \Box(\varphi \wedge \psi)$

▶ *N*:  $\Box\top$

▶ is closed under *RE*:  $\frac{\varphi \leftrightarrow \psi}{\Box\varphi \leftrightarrow \Box\psi}$

# Relationship Between Key Axioms

Each of  $K$ ,  $M$  and  $C$  are **logically independent**:

- ▶  $EC \not\vdash K$
- ▶  $EM \not\vdash K$
- ▶  $EMC \vdash K$
- ▶  $EK \not\vdash M$
- ▶  $EK \not\vdash C$



# Alternative Definition of Normal Modal Logics

$$(RE) \quad \frac{\varphi \leftrightarrow \psi}{\Box\varphi \leftrightarrow \Box\psi}$$

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$$(Nec) \quad \frac{\psi}{\Box\psi}$$

$$(RM) \quad \frac{\varphi \rightarrow \psi}{\Box\varphi \rightarrow \Box\psi}$$

$$(RR) \quad \frac{(\varphi_1 \wedge \varphi_2) \rightarrow \psi}{(\Box\varphi_1 \wedge \Box\varphi_2) \rightarrow \Box\psi}$$

$$(RK) \quad \frac{(\varphi_1 \wedge \cdots \wedge \varphi_n) \rightarrow \psi}{(\Box\varphi_1 \wedge \cdots \wedge \Box\varphi_n) \rightarrow \Box\psi} \quad (n \geq 0)$$

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Suppose that  $\mathbf{L}$  and  $\mathbf{L}'$  are two modal logics. We say that  $\mathbf{L}'$  **extends**  $\mathbf{L}$  when  $\mathbf{L} \subseteq \mathbf{L}'$ . For example,

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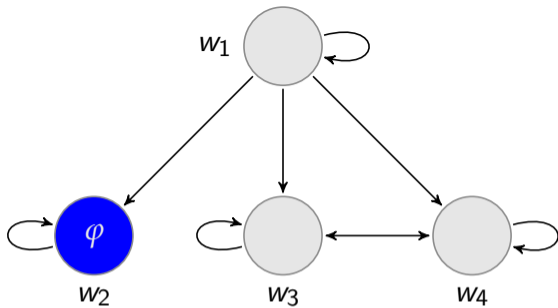
Are there non-normal extensions of  $\mathbf{K}$ ? **Yes!**

Let  $\mathbf{L}$  be the smallest modal logic containing

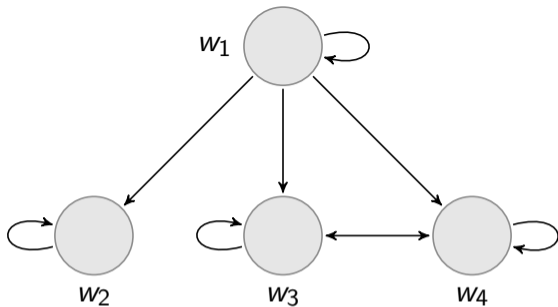
- ▶ **S4** ( $\mathbf{K} + \Box\varphi \rightarrow \varphi + \Box\varphi \rightarrow \Box\Box\varphi$ )
- ▶ all instances of  $M$ :  $\Box\Diamond\varphi \rightarrow \Diamond\Box\varphi$

**Claim:**  $\mathbf{L}$  is a non-normal extension of **S4**.



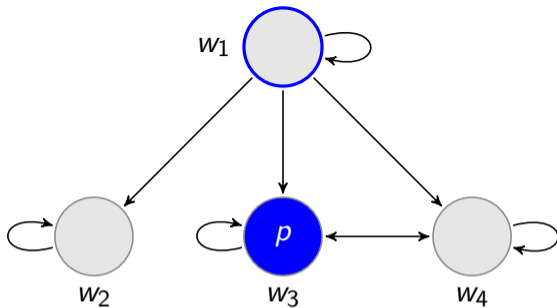


$$\mathcal{F}, w_1 \models \Box \Diamond \varphi \rightarrow \Diamond \Box \varphi$$



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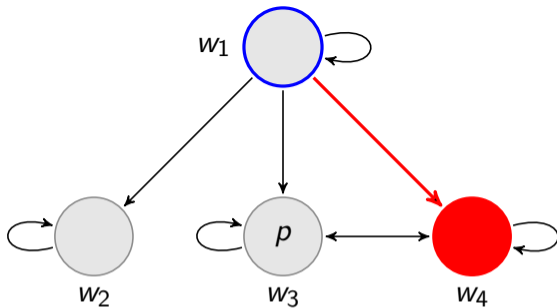
$$\mathbf{L} \subseteq \mathbf{L}_{w_1} = \{\varphi \mid \mathcal{F}, w_1 \models \varphi\}$$



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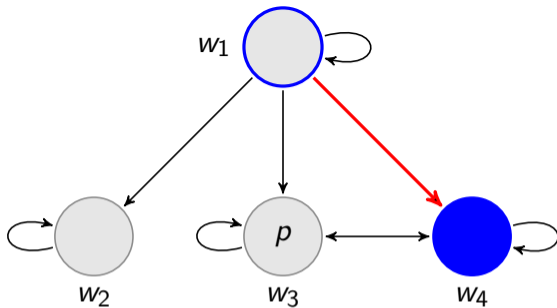
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## Some Terminology: Subset Spaces

Let  $W$  be a set and  $\mathcal{X} \subseteq \wp(W)$ .

- ▶  $\mathcal{X}$  is **closed under intersections** if for any collections of sets  $\{X_i\}_{i \in I}$  such that for each  $i \in I$ ,  $X_i \in \mathcal{X}$ , then  $\bigcap_{i \in I} X_i \in \mathcal{X}$ .
- ▶  $\mathcal{X}$  is **closed under unions** if for any collections of sets  $\{X_i\}_{i \in I}$  such that for each  $i \in I$ ,  $X_i \in \mathcal{X}$ , then  $\bigcup_{i \in I} X_i \in \mathcal{X}$ .
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- ▶  $\mathcal{X}$  is **supplemented**, or **closed under supersets** or **monotonic** provided for each  $X \subseteq W$ , if  $X \in \mathcal{X}$  and  $X \subseteq Y \subseteq W$ , then  $Y \in \mathcal{X}$ .

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Let  $W$  be a set and  $\mathcal{X} \subseteq \wp(W)$ .

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## Lemma

$\mathcal{X}$  is supplemented iff if  $X \cap Y \in \mathcal{X}$  then  $X \in \mathcal{X}$  and  $Y \in \mathcal{X}$ .

## A few more definitions

- ▶  $\mathcal{X}$  is a **filter** if  $\mathcal{X}$  contains the unit, closed under binary intersections and supplemented.  $\mathcal{X}$  is a proper filter if in addition  $\mathcal{X}$  does not contain the emptyset.
- ▶  $\mathcal{X}$  is an **ultrafilter** if  $\mathcal{X}$  is proper filter and for each  $X \subseteq W$ , either  $X \in \mathcal{X}$  or  $X^c \in \mathcal{X}$ .
- ▶  $\mathcal{X}$  is a **topology** if  $\mathcal{X}$  contains the unit, the emptyset, is closed under finite intersections and arbitrary unions.
- ▶  $\mathcal{X}$  is **augmented** if  $\mathcal{X}$  contains its core and is supplemented.

# Some Facts

## Lemma

*If  $\mathcal{X}$  is augmented, then  $\mathcal{X}$  is closed under arbitrary intersections. In fact, if  $\mathcal{X}$  is augmented then  $\mathcal{X}$  is a filter.*

## Fact

*There are consistent filters that are not augmented.*

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*If  $\mathcal{X}$  is closed under binary intersections (i.e., if  $X, Y \in \mathcal{X}$  then  $X \cap Y \in \mathcal{X}$ ), then  $\mathcal{X}$  is closed under finite intersections.*

## Corollary

*If  $W$  is finite and  $\mathcal{X}$  is a filter over  $W$ , then  $\mathcal{X}$  is augmented.*

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## Logical consequence

Suppose that  $\Gamma$  is a set of formulas and  $\mathbb{F}$  is a set of frames. We write  $\mathcal{M}, w \models \Gamma$  iff  $\mathcal{M}, w \models \alpha$  for all  $\alpha \in \Gamma$ .

$\Gamma \models_{\mathbb{F}} \varphi$  iff for all frames  $\mathcal{F} \in \mathbb{F}$ , for all models  $\mathcal{M}$  based on  $\mathcal{F}$  and all states  $w$  in  $\mathcal{M}$ ,  $\mathcal{M}, w \models \Gamma$  implies  $\mathcal{M}, w \models \varphi$ .



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Over the class of relational frames:

- ▶  $\models (\Box p \wedge \Diamond q) \rightarrow \Diamond(p \wedge q)$
- ▶  $\{\Box p \rightarrow \Diamond p\} \models \Diamond \top$
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Over the class of neighborhood frames:

- ▶  $\not\models (\Box p \wedge \Diamond q) \rightarrow \Diamond(p \wedge q)$
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# Soundness and Completeness

- ▶ A logic  $\mathbf{L}$  is **sound** with respect to  $\mathbb{F}$ , provided  $\vdash_{\mathbf{L}} \varphi$  implies  $\models_{\mathbb{F}} \varphi$ .
- ▶ A logic  $\mathbf{L}$  is **weakly complete** with respect to a class of frames  $\mathbb{F}$ , if  $\models_{\mathbb{F}} \varphi$  implies  $\vdash_{\mathbf{L}} \varphi$ .
- ▶ A logic  $\mathbf{L}$  is **strongly complete** with respect to a class of frames  $\mathbb{F}$ , if for each set of formulas  $\Gamma$ ,  $\Gamma \models_{\mathbb{F}} \varphi$  implies  $\Gamma \vdash_{\mathbf{L}} \varphi$ .

A set of formulas  $\Gamma$  is called a **maximally consistent set** provided  $\Gamma$  is a consistent set of formulas and for all formulas  $\varphi \in \mathcal{L}$ , either  $\varphi \in \Gamma$  or  $\neg\varphi \in \Gamma$ .

Let  $M_{\mathbf{L}}$  be the set of **L**-maximally consistent sets of formulas.

The **L-proof set** of  $\varphi \in \mathcal{L}$  is  $|\varphi|_{\mathbf{L}} = \{\Gamma \mid \varphi \in \Gamma\}$ .

Let  $\mathbf{L}$  be a logic and  $\varphi, \psi \in \mathcal{L}$ . Then

1.  $|\varphi \wedge \psi|_{\mathbf{L}} = |\varphi|_{\mathbf{L}} \cap |\psi|_{\mathbf{L}}$
2.  $|\neg\varphi|_{\mathbf{L}} = M_{\mathbf{L}} - |\varphi|_{\mathbf{L}}$
3.  $|\varphi \vee \psi|_{\mathbf{L}} = |\varphi|_{\mathbf{L}} \cup |\psi|_{\mathbf{L}}$
4.  $|\varphi|_{\mathbf{L}} \subseteq |\psi|_{\mathbf{L}}$  iff  $\vdash_{\mathbf{L}} \varphi \rightarrow \psi$
5.  $|\varphi|_{\mathbf{L}} = |\psi|_{\mathbf{L}}$  iff  $\vdash_{\mathbf{L}} \varphi \leftrightarrow \psi$
6. For any maximally  $\mathbf{L}$ -consistent set  $\Gamma$ , if  $\varphi \in \Gamma$  and  $\varphi \rightarrow \psi \in \Gamma$ , then  $\psi \in \Gamma$
7. For any maximally  $\mathbf{L}$ -consistent set  $\Gamma$ , if  $\vdash_{\mathbf{L}} \varphi$ , then  $\varphi \in \Gamma$

**Lindenbaum's Lemma.** For any consistent set of formulas  $\Gamma$ , there exists a maximally consistent set  $\Gamma'$  such that  $\Gamma \subseteq \Gamma'$ .

# Canonical Model

## Definition

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- ▶  $W = \{ \Gamma \mid \Gamma \text{ is a maximally } \mathbf{L}\text{-consistent set} \}$

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- ▶ for all  $p \in \text{At}$ ,  $V(p) = |p|_{\mathbf{L}}$

## Examples of Canonical Models

$\mathcal{M}_{\mathbf{L}}^{min} = \langle M_{\mathbf{L}}, N_{\mathbf{L}}^{min}, V_{\mathbf{L}} \rangle$ , where for each  $\Gamma \in M_{\mathbf{L}}$ ,

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Let  $P_{\mathbf{L}} = \{|\varphi|_{\mathbf{L}} \mid \varphi \in \mathcal{L}\}$  be the set of all proof sets.

$\mathcal{M}_{\mathbf{L}}^{max} = \langle M_{\mathbf{L}}, N_{\mathbf{L}}^{max}, V_{\mathbf{L}} \rangle$ , where for each  $\Gamma \in M_{\mathbf{L}}$ ,

$$N_{\mathbf{L}}^{max}(\Gamma) = N_{\mathbf{L}}^{min}(\Gamma) \cup \{X \mid X \subseteq M_{\mathbf{L}}, X \notin P_{\mathbf{L}}\}$$