# Logics of Action, Ability, Knowledge and Obligation 

John F. Horty Eric Pacuit

Department of Philosophy<br>University of Maryland

pacuit.org/esslli2019/epstit
August 9, 2019
A. Herzig. Logics of knowledge and action: critical analysis and challenges. Autonomous Agent and Multi-Agent Systems, 2014.
V. Goranko and EP. Temporal aspects of the dynamics of knowledge. in Johan van Benthem on Logic and Information Dynamics, Outstanding Contributions to Logic, (eds. Alexandru Baltag and Sonja Smets), pp. 235-266, 2014.
J. Broeresen, A. Herzig and N. Troquard. What groups do, can do and know they can do: An analysis in normal modal logics. Journal of Applied and Non-Classical Logics, 19:3, pgs. 261-289, 2009.
W. van der Hoek and M. Wooldridge. Cooperation, knowledge and time: Alternating-time temporal epistemic logic and its applications. Studia Logica, 75, pgs. 125-157, 2003.

$$
\left\langle\text { Tree },<, \text { Agent, Choice, }\left\{\sim_{\alpha}\right\}_{\alpha \in \text { Agent }}, V\right\rangle
$$


$\sim_{\alpha}$ is an equivalence relation on indices
$m / h \sim_{\alpha} m^{\prime} / h^{\prime}$ : nothing $\alpha$ knows distinguishes $m / h$ from $m^{\prime} / h^{\prime}$, or $m / h$ and $m^{\prime} / h^{\prime}$ are indistinguishable

$\sim_{\alpha}$ is an equivalence relation on indices
$m / h \sim_{\alpha} m^{\prime} / h^{\prime}$ : nothing $\alpha$ knows distinguishes $m / h$ from $m^{\prime} / h^{\prime}$, or $m / h$ and $m^{\prime} / h^{\prime}$ are indistinguishable

$\sim_{\alpha}$ is an equivalence relation on indices
$m / h \sim_{\alpha} m^{\prime} / h^{\prime}:$ nothing $\alpha$ knows distinguishes $m / h$ from $m^{\prime} / h^{\prime}$, or $m / h$ and $m^{\prime} / h^{\prime}$ are indistinguishable
$\left\langle\right.$ Tree, $<$, Agent, Choice, $\left.\left\{\sim_{\alpha}\right\}_{\alpha \in \text { Agent }}, V\right\rangle$

$\sim_{\alpha}$ is an equivalence relation on indices
$m / h \sim_{\alpha} m^{\prime} / h^{\prime}:$ nothing $\alpha$ knows distinguishes $m / h$ from $m^{\prime} / h^{\prime}$, or $m / h$ and $m^{\prime} / h^{\prime}$ are indistinguishable

## Ability



## Deliberative perspective

(C5) If $m / h \sim_{\alpha} m^{\prime} / h^{\prime}$, then $m / h^{\prime \prime} \sim_{\alpha} m^{\prime} / h^{\prime \prime \prime}$ for all $h^{\prime \prime} \in H^{m}$ and $h^{\prime \prime \prime} \in H^{m^{\prime}}$

Indistinguishability between moments: $m \sim_{\alpha} m^{\prime}$ iff $m / h \sim_{\alpha} m^{\prime} / h^{\prime}$ for all $h \in H^{m}$ and $h^{\prime} \in H^{m^{\prime}}$.

## Game Theory

A game is a mathematical model of a strategic interaction that includes

- the actions the players can take
- the players' interests (i.e., preferences),
- the "structure" of the decision problem


## Game Theory

A game is a mathematical model of a strategic interaction that includes

- the actions the players can take
- the players' interests (i.e., preferences),
- the "structure" of the decision problem

It does not specify the actions that the players do take.

## Games



## Games



## Games



## Games



## Knowledge and beliefs in game situations

J. Harsanyi. Games with incomplete information played by "Bayesian" players I-III. Management Science Theory 14: 159-182, 1967-68.
R. Aumann. Interactive Epistemology I \& II. International Journal of Game Theory (1999).
P. Battigalli and G. Bonanno. Recent results on belief, knowledge and the epistemic foundations of game theory. Research in Economics (1999).
R. Myerson. Harsanyi's Games with Incomplete Information. Special 50th anniversary issue of Management Science, 2004.

John C. Harsanyi, nobel prize winner in economics, developed a theory of games with incomplete information.
J. Harsanyi. Games with incomplete information played by "Bayesian" players I-III. Management Science Theory 14: 159-182, 1967-68.

John C. Harsanyi, nobel prize winner in economics, developed a theory of games with incomplete information.

1. incomplete information: uncertainty about the structure of the game (outcomes, payoffs, strategy space)
2. imperfect information: uncertainty within the game about the previous moves of the players
J. Harsanyi. Games with incomplete information played by "Bayesian" players I-III. Management Science Theory 14: 159-182, 1967-68.

## Information in games situations

- Various states of information disclosure.


## Information in games situations

- Various states of information disclosure.
- ex ante, ex interim, ex post


## Information in games situations

- Various states of information disclosure.
- ex ante, ex interim, ex post
- Various "types" of information:


## Information in games situations

- Various states of information disclosure.
- ex ante, ex interim, ex post
- Various "types" of information:
- imperfect information about the play of the game
- incomplete information about the structure of the game
- strategic information (what will the other players do?)
- higher-order information (what are the other players thinking?)


## Information in games situations

- Various states of information disclosure.
- ex ante, ex interim, ex post
- Various "types" of information:
- imperfect information about the play of the game
- incomplete information about the structure of the game
- strategic information (what will the other players do?)
- higher-order information (what are the other players thinking?)
- Varieties of informational attitudes


## Information in games situations

- Various states of information disclosure.
- ex ante, ex interim, ex post
- Various "types" of information:
- imperfect information about the play of the game
- incomplete information about the structure of the game
- strategic information (what will the other players do?)
- higher-order information (what are the other players thinking?)
- Varieties of informational attitudes
- hard ("knowledge")
- soft ("beliefs")


## Ex ante vs. ex interim knowledge

- $\mathcal{M}, m / h \vDash \mathrm{~K}_{\alpha} A$ if and only if, for all $m^{\prime} / h^{\prime}$, if $m / h \sim_{\alpha} m^{\prime} / h^{\prime}$, then $\mathcal{M}, m^{\prime} / h^{\prime} \models A$
- $\mathcal{M}, m / h=\mathrm{K}_{\alpha}^{\text {act }} A$ if and only if, for all $m^{\prime} / h^{\prime}$, if $m / h \sim_{\alpha} m^{\prime} / h^{\prime}$ and $h^{\prime} \in\left[\operatorname{Type} \alpha_{\alpha}^{m}(h)\right]_{\alpha}^{m^{\prime}}, \mathcal{M}, m^{\prime} / h^{\prime} \models A$


## Discussion

- Language/validities

$$
\square A \supset[\alpha \text { stit: } A]
$$

$\mathrm{K}_{\alpha} \square A \supset[\alpha$ kstit: A]
$[\alpha$ kstit: $A] \equiv \mathrm{K}_{\alpha}^{\text {act }}[\alpha$ stit: $A]$

- What do the agents know vs. What do the agents know given what they are doing.


## Broersen and Duijf

$m / h \sim_{\alpha}^{\prime} m^{\prime} / h^{\prime}$ if and only if, $m / h \sim_{\alpha} m^{\prime} / h^{\prime}$ and $h^{\prime} \in\left[\operatorname{Type}_{\alpha}^{m}(h)\right]_{\alpha}^{m^{\prime}}$

## Broersen and Duijf

Theorem 1. Let $\mathcal{M}$ be a static labelled stit model, and let $\mathcal{M}^{\prime}$ be the associated transform epistemic stit model. Let $i \in$ Ags be an agent, $\varphi \in \mathfrak{I}_{\text {stit }}$ be a standard stit formula, and $m / h$ be an index. Then the following holds
(1) $\mathcal{M}, m / h \vDash[i k s t i t] ~ \varphi \quad$ if and only if $\quad \mathcal{M}^{\prime}, m / h \vDash \mathrm{~K}_{i}[i$ stit $] \varphi$;
(2) $\mathcal{M}, m / h \neq \mathrm{K}_{i} \varphi \quad$ if and only if $\quad \mathcal{M}^{\prime}, m / h \vDash \mathrm{~K}_{i} \square \varphi$;
and
(3) $\mathcal{M}^{\prime}, m / h \vDash \mathrm{~K}_{i} \varphi \rightarrow \mathrm{~K}_{i}[i$ stit $] \varphi$;
(4) $\mathcal{M}^{\prime}, m / h \vDash \diamond \mathrm{~K}_{i} \varphi \rightarrow \mathrm{~K}_{i} \diamond \varphi$

## Concluding Remarks

- Group epistemic agency:


## Concluding Remarks

- Group epistemic agency:
- Collective attitudes: aggregate attitudes (e.g., distributed knowledge, collective wisdom, ...) vs. common attitudes (e.g., common knowledge)
(cf. C. List, "Three kinds of collective attitudes", 2015)


## Concluding Remarks

- Group epistemic agency:
- Collective attitudes: aggregate attitudes (e.g., distributed knowledge, collective wisdom, ...) vs. common attitudes (e.g., common knowledge)
(cf. C. List, "Three kinds of collective attitudes", 2015)
- Group action types: products of individual action types vs. labelings of products of individual action tokens


## Concluding Remarks

- Group epistemic agency:
- Collective attitudes: aggregate attitudes (e.g., distributed knowledge, collective wisdom, ...) vs. common attitudes (e.g., common knowledge)
(cf. C. List, "Three kinds of collective attitudes", 2015)
- Group action types: products of individual action types vs. labelings of products of individual action tokens
- Group oughts (Kooi and Tamminga: relativized to the interests of other groups of agents)


## Conclude Remarks

- Making assumptions about what the other agents are going to do (what should you do when you know that the other agents will do what they ought to do?)


## Conclude Remarks

- Making assumptions about what the other agents are going to do (what should you do when you know that the other agents will do what they ought to do?)
- Moving beyond common payoffs


## Conclude Remarks

- Making assumptions about what the other agents are going to do (what should you do when you know that the other agents will do what they ought to do?)
- Moving beyond common payoffs
- When do two labeled stit models represent the same situation? (cf. when are two games the same?)



## Thompson Transformations

Game-theoretic analysis should not depend on "irrelevant" features of the (mathematical) description of the game.
F. B. Thompson. Equivalence of Games in Extensive Form. Classics in Game Theory, pgs 36-45, 1952.
(Osborne and Rubinstein, pgs. 203-212)

The same decision problem






Theorem (Thompson) Each of the previous transformations preserves the reduced strategic form of the game. In finite extensive games (without uncertainty between subhistories), if any two games have the same reduced normal form then one can be obtained from the other by a sequence of the four transformations.

## Extensive vs. Normal Forms

G. Bonanno. Set-Theoretic Equivalence of Extensive-Form Games. IJGT (1992).
S. Elmes and P. Reny. On The Strategic Equivalence of Extensive Form Games. Journal of Economic Theory (1994).
E. Kholberg and F. Mertens. On Strategic Stability of Equilibria. Econometrica (1986).
T. Seidenfeld. When Normal and Extensive Form Decisions Differ. Logic, Methodology and Philosophy of Science, 1994.

Strategic stit/obligations and incorporating game-theoretic reasoning (and beliefs)

## BI Puzzle?



## BI Puzzle?



## BI Puzzle?







R. Aumann. Backwards induction and common knowledge of rationality. Games and Economic Behavior, 8, pgs. 6-19, 1995.
R. Stalnaker. Knowledge, belief and counterfactual reasoning in games. Economics and Philosophy, 12, pgs. 133-163, 1996.
J. Halpern. Substantive Rationality and Backward Induction. Games and Economic Behavior, 37, pp. 425-435, 1998.

## Informal characterizations of BI

- Future choices are epistemically independent of any observed behavior
- Any "off-equilibrium" choice is interpreted simply as a mistake (which will not be repeated)
- At each choice point in a game, the players only reason about future paths


## Rationalizing Observed Actions

After observing an (unexpected) move by some player, you could:

1. Change your belief about the player's rationality, but maintain your beliefs about the player's passive beliefs.
2. Change your belief about the player's passive beliefs, but maintain your belief in the player's rationality.
3. Conclude that the player perceives the game differently.











Bob

|  | II | Ir | rl | rr |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Ann | Bu | 2,1 | 2,1 | $-2,0$ | $-2,0$ |
|  | Bd | $-2,0$ | $-2,0$ | $-1,4$ | $-1,4$ |
|  | Nu | 4,1 | 0,0 | 4,1 | 0,0 |
| Nd | 0,0 | 1,4 | 0,0 | 1,4 |  |










## What is forward induction reasoning?

Forward Induction Principle: a player should use all information she acquired about her opponents' past behavior in order to improve her prediction of their future simultaneous and past (unobserved) behavior, relying on the assumption that they are rational.
P. Battigalli. On Rationalizability in Extensive Games. Journal of Economic Theory, 74, pgs. 40-61, 1997.

## Thank you!

